

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	L25209PB2017PLC046660
2	Registration Date	29-06-2017
3	Name of the Company	PRAKASH PIPES LIMITED
4	Category/Sub-category of the Company	Public Company/ Limited by Shares
5	Address of the Registered office & contact details	Darjian Wali Gali, Rayya Teh-Baba Bakala Amritsar 143112 E-mail: pplho@prakash.com
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	PRAKASH PIPES LIMITED, Srivan, Bijwasan, New Delhi-110061

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	PVC Pipes and Fittings	252	85.93
2	Flexible Packaging		14.07

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
S. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Not Applicable				

IV. SHARE HOLDING PATTERN									
(Equity share capital breakup as percentage of total equity)									

Category of Shareholders	No. of Shares held at the beginning of the year [As on 01-April-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/ HUF	-	-	-	-	5,34,972	-	5,34,972	2.62	2.62
b) Central Govt/State Govt(s)	-	-	-	0.00	-	-	-	-	-
c) Bodies Corporate	-	10,000	10,000	100.00	66,77,786	-	66,77,786	32.70	32.70
d) Financial Institutions/Banks	-	-	-	0.00	-	-	-	-	-
e) Any other (specify) LLP	-	-	-	0.00	8,23,600	-	8,23,600	4.03	4.03
Sub Total (A) (1)	-	10,000	10,000	100.00	80,36,358	-	80,36,358	39.36	39.36
(2) Foreign									
a) Individuals (Non Resident Individuals/Foreign)	-	-	-	0.00	-	-	-	-	-
b) Bodies Corp.	-	-	-	0.00	-	-	-	-	-
c) Institutions/ Banks	-	-	-	0.00	-	-	-	-	-
d) Qualified Foreign Investor	-	-	-	0.00	-	-	-	-	-
e) Any other (specify)	-	-	-	0.00	-	-	-	-	-
Sub Total (A) (2)	-	-	-	0.00	-	-	-	-	-
TOTAL (A)	-	10,000	10,000	100.00	80,36,358	-	80,36,358	39.36	39.36
B. Public Shareholding									
1. Institutions									
a) Mutual Funds/UTI	-	-	-	0.00	1,636	4,224	5,860	0.03	0.03
b) Financial Institutions/Banks	-	-	-	0.00	171	305	476	0.00	0.00
c) Central Government/State Government	-	-	-	0.00	-	-	-	-	-
d) Venture Capital Funds	-	-	-	0.00	-	-	-	-	-
e) Insurance Companies	-	-	-	0.00	12	25	37	0.00	0.00
f) Foreign Institutional Investors	-	-	-	0.00	3,18,017	-	3,18,017	1.56	1.56
g) Foreign Venture Capital Investors	-	-	-	0.00	-	-	-	0.00	0.00
h) Qualified Foreign Investor	-	-	-	0.00	-	-	-	0.00	0.00
i) Any other (specify)	-	-	-	0.00	-	-	-	0.00	0.00
Sub-total (B)(1):-	-	-	-	0.00	3,19,836	4,554	3,24,390	1.59	1.59
2. Non-Institutions									
a) Bodies Corporate (NBFC registered with RBI)	-	-	-	0.00	106	-	106	0.00	0.00
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 2 lakh	-	-	-	0.00	60,25,180	1,67,021	61,92,201	30.33	30.33
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	-	-	-	0.00	11,31,414	-	11,31,414	5.54	5.54
c) Qualified Foreign Investor	-	-	-	0.00	-	-	-	0.00%	0.00%
d) Any other (specify)	-	-	-	0.00	-	-	-	-	-

NRIs/OCBs	-	-	-	0.00	2,11,922	146	2,12,068	1.04	1.04
Trusts	-	-	-	0.00	-	-	-	0.00	0.00
HUF	-	-	-	0.00	2,13,743	-	2,13,743	1.05	1.05
Clearing Members	-	-	-	0.00	95,320	-	95,320	0.47	0.47
LLP	-	-	-	0.00	22,93,330	35,725	23,29,055	11.41	11.41
Directors and their relatives	-	-	-	0.00	-	-	-	0.00%	0.00
Bodies Corporate	-	-	-	0.00	17,97,138	7,350	18,04,488	8.84	8.84
IEPF	-	-	-	0.00	79,211	-	79,211	0.39	0.39
Sub-total (B)(2):-	-	-	-	0.00	1,18,47,364	2,10,242	1,20,57,606	59.05	59.05
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	0.00	1,21,67,200	2,14,796	1,23,81,996	60.64	60.64
Total (A)+ (B)	-	10,000	10,000	100.00	2,02,03,558	2,14,796	2,04,18,354	100.00	100.00
C. Shares held by Custodian and against which Depository Receipts have been issued	-	-	-	0.00	-	-	-	-	-
Grand Total (A+B+C)	-	10,000	10,000	100	-	2,14,796	2,04,18,354	100.00	0.00

(ii) Shareholding of Promoter

S. No.	Shareholder's Name	Shareholding at the beginning of the year as on 01.04.2019			Shareholding at the end of the year as on 31.03.2020			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	PRAKASH INDUSTRIES LIMITED	10,000	100.00%	-	-	0.00%	-	-100.00%
2	SHRI V.P. AGARWAL	-	0.00%	0.00	65,860	0.00%	0.14	0.32%
3	V.P. AGARWAL (HUF)	-	0.00%	0.00	2,76,079	0.00%	0.62	1.35%
4	SMT. MOHINI AGARWAL	-	0.00%	0.00	1,27,482	0.00%	0.25	0.62%
5	SHRI VIKRAM AGARWAL	-	0.00%	0.00	50,812	0.00%	0.00	0.25%
6	SHRI KANHA AGARWAL	-	0.00%	0.00	14,739	0.00%	0.00	0.07%
7	AMBROSIA COMMERCE PVT. LTD.	-	0.00%	0.00	2,42,062	0.00%	0.00	1.19%
8	DHRUV COMMERCE PVT. LTD.	-	0.00%	0.00	1,770	0.00%	1.19	0.01%
9	ESSENTIAL ELECTRONICS PVT. LTD.	-	0.00%	0.00	74,662	0.00%	0.01	0.37%
10	EVERSHINE MERCANTILE PVT. LTD.	-	0.00%	0.00	2,40,212	0.00%	0.37	1.18%
11	EXCEL FINCAP PVT. LTD.	-	0.00%	0.00	12,766	0.00%	1.18	0.06%
12	FOCUS SECURITIES & CREDITS PVT. LTD.	-	0.00%	0.00	1,82,500	0.00%	0.06	0.89%
13	FOUR WINGS MARKETING PVT. LTD.	-	0.00%	0.00	37,500	0.00%	0.89	0.18%
14	GMK BUILDERS PVT. LTD.	-	0.00%	0.00	10,20,598	0.00%	0.18	5.00%
15	GOEL CONTAINERS PVT. LTD.	-	0.00%	0.00	1,72,335	0.00%	1.52	0.84%
16	HISSAR TUBES PVT. LTD.	-	0.00%	0.00	81,251	0.00%	0.84	0.40%
17	HI-TECH MERCANTILE (INDIA) PVT. LTD.	-	0.00%	0.00	3,75,086	0.00%	0.40	1.84%
18	NEW ERA COMMERCE & SECURITIES PVT. LTD.	-	0.00%	0.00	26,998	0.00%	1.51	0.13%
19	OCEAN ISPAT PVT. LTD.	-	0.00%	0.00	37,500	0.00%	0.13	0.18%
20	PAREEK OVERSEAS PVT. LTD.	-	0.00%	0.00	1,50,013	0.00%	0.18	0.73%
21	PRAKASH CAPITAL SERVICES LTD.	-	0.00%	0.00	1,88,558	0.00%	0.00	0.92%
22	PRAKASH INDUSTRIAL FINANCE LTD.	-	0.00%	0.00	1,13,118	0.00%	0.92	0.55%
23	PREMIER FINCAP PVT. LTD.	-	0.00%	0.00	3,750	0.00%	0.55	0.02%
24	PRIMENET GLOBAL LTD.	-	0.00%	0.00	4,09,872	0.00%	0.02	2.01%
25	PRIME MERCANTILE PVT. LTD.	-	0.00%	0.00	4,62,682	0.00%	0.00	2.27%
26	PROSPERO SECURITIES PVT. LTD.	-	0.00%	0.00	76,475	0.00%	0.00	0.37%
27	ROURKELA STEEL PVT. LTD.	-	0.00%	0.00	1,78,391	0.00%	0.37	0.87%
28	SAMYAK SECURITIES PVT. LTD.	-	0.00%	0.00	1,87,500	0.00%	0.30	0.92%
29	SHREE LABH LAKSHMI CAPITAL SERVICES PVT. LTD.	-	0.00%	0.00	2,88,933	0.00%	0.92	1.42%
30	SPRING MERCANTILE PVT. LTD.	-	0.00%	0.00	-	0.00%	1.42	0.00%
31	STYLE LEASING & FINANCE PVT. LTD.	-	0.00%	0.00	1,39,300	0.00%	0.00	0.68%
32	SUNVIN TRADING & INVESTMENT PVT. LTD.	-	0.00%	0.00	30,289	0.00%	0.68	0.15%
33	TECHDRIVE SOFTWARE LTD.	-	0.00%	0.00	1,44,443	0.00%	0.00	0.71%
34	TOOLS INDIA PVT. LTD.	-	0.00%	0.00	1,12,612	0.00%	0.00	0.55%
35	UNITY MERCANTILE PVT. LTD.	-	0.00%	0.00	31,446	0.00%	0.55	0.15%
36	VANSHI FARMS PVT. LTD.	-	0.00%	0.00	7,58,494	0.00%	0.15	3.71%
37	VISION MERCANTILE PVT. LTD.	-	0.00%	0.00	2,70,837	0.00%	0.00	1.33%
38	WELTER SECURITIES LTD.	-	0.00%	0.00	6,25,833	0.00%	0.32	3.07%
39	CHABASA STEEL LLP	-	0.00%	0.00	31,250	0.00%	2.90	0.15%
40	DHRUV COMMERCE LLP	-	0.00%	0.00	2,20,917	0.00%	0.15	1.08%
41	ESSENTIAL ELECTRONICS LLP	-	0.00%	0.00	37,763	0.00%	0.53	0.18%
42	HISSAR TUBES LLP	-	0.00%	0.00	1,00,212	0.00%	0.00	0.49%
43	PREMIER FINCAP LLP	-	0.00%	0.00	1,51,685	0.00%	0.00	0.74%
44	SHIKHA MERCANTILE LLP	-	0.00%	0.00	8,825	0.00%	0.00	0.04%
45	SPRING MERCANTILE LLP	-	0.00%	0.00	1,35,112	0.00%	0.00	0.66%
46	SUNVIN TRADING & INVESTMENT LLP	-	0.00%	0.00	1,25,961	0.00%	0.00	0.62%
47	SUVARNA MARKETING LLP	-	0.00%	0.00	11,875	0.00%	0.06	0.06%
	TOTAL	-	0.00%	0	80,36,358	0.00%	19.33	0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

S. No.	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
	At the beginning of the year			10,000	100	-	0.00%
	Changes during the year		Share Cancelled as per the order of Hon'ble NCLT Chandigarh	-10000	100		
		25-04-2019	Allot	80,23,936	39.30%	80,23,936	39.30%
			Transfer	12,422	0	8036358	39.36%
	At the end of the year					8036358	39.36%

Changes among Promoters

S. No.	Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Share holding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning 01.04.2019	% of total shares of the Company				No. of shares	% of total shares of the Company
1	Prakash Industries Limited	10000	10000	1-Apr-2019			0	0
				16-Mar-2020	(10,000)		0	0
				31-Mar-2020			0	0
2	Sh. Ved Prakash Agarwal	-	0.00	1-Apr-2019				
				25-Apr-2019	65860	Allotment	65860	0.00%
				31-Mar-2020			65,860	0.00%
3	V.P. Agarwal (HUF)	-	-	1-Apr-2019				
				25-Apr-2019	2,76,079	Allotment	2,76,079	1.35
				31-Mar-2020			2,76,079	1.35
4	Smt. Mohini Agarwal	-	0.00	1-Apr-2019	-			
				25-Apr-2019	7,65,482	Allotment	765482	3.75
				24-Dec-2019	(6,50,000)	Transfer	1,15,482	0.57
				30-Dec-2019	12,000	Transfer	1,27,482	0.62
				31-Mar-2020			1,27,482	0.62
5	Sh. Vikram Agarwal	-	0.00	1-Apr-2019				
				25-Apr-2019	50,812	Allotment	50,812	0.25
				31-Mar-2020			50,812	0.25
6	Sh. Kanha Agarwal	-	0.00	1-Apr-2019				
				25-Apr-2019	2,317	Allotment	2,317	0.01
				3-Mar-2020	2,000	Transfer	4,317	0.02
				9-Mar-2020	2,222	Transfer	6,539	0.03
				12-Mar-2020	4,500	Transfer	11,039	0.05
				19-Mar-2020	3,700	Transfer	14,739	0.07
				31-Mar-2020			14,739	0.07
7	Aircon Systems (India) Private Limited	-	0.00	1-Apr-2019				
				25-Apr-2019	12,000	Allotment	12000	0.06
				30-Dec-2019	(12,000)	Transfer	0	0.00
				31-Mar-2020			-	0.00
8	Ambrosia Commerce Pvt Ltd.	-	0.00	1-Apr-2019				
				25-Apr-2019	2,42,062	Allotment	2,42,062	1.19
				31-Mar-2020			2,42,062	1.19
9	Dhruv Commerce Pvt Ltd	-	0.00	1-Apr-2019				
				25-Apr-2019	1,52,687	Allotment	1,52,687	0.75
				16-Jul-2019	(1,13,375)	Conversion into LLP	39,312	0.19
				9-Jan-2020	(37,542)	Conversion into LLP	1,770	0.01
		31-Mar-2020			1,770	0.01		
10	Essential Electronics Private Limited	-	0.00	1-Apr-2019				
				25-Apr-2019	87,425	Allotment	87,425	0.43
				17-Jul-2019	(12,763)	Conversion into LLP	74,662	0.37
				31-Mar-2020			74,662	0.37
11	Evershine Mercantile Private Limited	-	0.00	1-Apr-2019	-			
				25-Apr-2019	2,40,212	Allotment	2,40,212	1.18
				31-Mar-2020			2,40,212	1.18
12	Excel Fincap Private Limited	-	0.00	1-Apr-2019				
				25-Apr-2019	12,766	Allotment	12,766	0.06
				31-Mar-2020			12,766	0.06
13	Focus Securities & Credits Private Limited	-	0.00	1-Apr-2019	-			
				25-Apr-2019	1,82,500	Allotment	1,82,500	0.89
				31-Mar-2020			1,82,500	0.89
14	Four Wings Marketing Private Limited	-	0.00	1-Apr-2019				
				25-Apr-2019	12,712	Allotment	12,712	0.06
				13-Mar-2020	24,788	Transfer	37,500	0.18
				31-Mar-2020			37,500	0.18
15	GMK Builders Private Limited	-	0.00	1-Apr-2019				
				25-Apr-2019	10,20,598	Allotment	10,20,598	5.00
				31-Mar-2020			10,20,598	5.00

16	Goel Containers Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,72,335	Allotment	1,72,335	0.84	
				31-Mar-2020			1,72,335	0.84	
17	HISSAR TUBES PVT. LTD	-	0.00	1-Apr-2019					
				25-Apr-2019	97,500	Allotment	97,500	0.48	
				31-Mar-2020	(16,249)	Conversion into LLP	81,251	0.40	
18	Hi-tech Mercantile (India) Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	3,75,086	Allotment	3,75,086	1.84	
				31-Mar-2020			3,75,086	1.84	
19	New Era Commerce and Securities Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	26,998	Allotment	26,998	0.13	
				31-Mar-2020			26,998	0.13	
20	Ocean Ispat Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	37,500	Allotment	37,500	0.18	
				31-Mar-2020			37,500	0.18	
21	Pareek Overseas Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,50,013	Allotment	1,50,013	0.73	
				31-Mar-2020			1,50,013	0.73	
22	Prakash Capital Services Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,88,558	Allotment	1,88,558	0.92	
				31-Mar-2020			1,88,558	0.92	
23	Prakash Industrial Finance Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,13,118	Allotment	1,13,118	0.55	
				31-Mar-2020			1,13,118	0.55	
24	Premier Fincap Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	99,125	Allotment	99,125	0.49	
				18-Mar-2020	(95,375)	Conversion into LLP	3,750	0.02	
25	Primenet Global Limited		0.00	1-Apr-2019					
				25-Apr-2019	4,09,872	Allotment	4,09,872	2.01	
				31-Mar-2020			4,09,872	2.01	
26	Prime Mercantile Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	4,62,682	Allotment	4,62,682	2.27	
				31-Mar-2020			4,62,682	2.27	
27	Prospero Securities Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	76,475	Allotment	76,475	0.37	
				31-Mar-2020			76,475	0.37	
28	Rourkela Steel Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,78,391	Allotment	1,78,391	0.87	
				31-Mar-2020			1,78,391	0.87	
29	Samyak Securities Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,87,500	Allotment	1,87,500	0.92	
				31-Mar-2020			1,87,500	0.92	
30	Shikha Mercantile Private Limited	-	0.00	1-Apr-2019	-	-	-	-	
				25-Apr-2019	8,825	Allotment	8,825	0.04	
				14-Jun-2019	(8,825)	Conversion into LLP	-	0.00	
31	Shree Labh Lakshmi Capital Services Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	22,88,933	Allotment	2,88,933	1.42	
				31-Mar-2020			2,88,933	1.42	
32	Spring Mercantile Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	12,362	Allotment	12,362	0.06	
				13-Mar-2020	(12,362)	Conversion into LLP	-	0.00	
33	Style Leasing and Financing Private Limited	-	0.00	1-Apr-2019	-	-	-	-	
				25-Apr-2019	139300	Allotment	139300	0.68	
				31-Mar-2020			139300	0.68	
34	Sunvin Trading & Investment Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,56,250	Allotment	1,56,250	0.77	
				16-Jul-2019	1,25,961	Conversion into LLP	30,289	0.15	
35	Techdrive Software Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,44,443	Allotment	1,44,443	0.71	
				31-Mar-2020			1,44,443	0.71	
36	Tools India Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,12,612	Allotment	1,12,612	0.55	
				31-Mar-2020			1,12,612	0.55	
37	Unity Mercantile Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	31,446	Allotment	31,446	0.15	
				31-Mar-2020			31,446	0.15	
38	Vanshi Farms Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	1,08,494	Allotment	1,08,494	0.53	
				24-Dec-2019	6,50,000	Transfer	7,58,494	3.71	
39	Vision Mercantile Private Limited	-	0.00	1-Apr-2019					
				25-Apr-2019	2,70,837	Allotment	2,70,837	1.33	
				31-Mar-2020			2,70,837	1.33	

40	Welter Securities Limited	-	0.00	1-Apr-2019				
				25-Apr-2019	6,25,833	Allotment	6,25,833	3.07
				31-Mar-2020			6,25,833	3.07
41	Chaibasa Steel LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	31,250	Allotment	31,250	0.15
				31-Mar-2020			31,250	0.15
42	Dhruv Commerce LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	70,000	Allotment	70,000	0.34
				16-Jul-2019	1,13,375	Conversion into LLP	1,83,375	0.90
				9-Jan-2020	37,542	Conversion into LLP	2,20,917	1.08
				31-Mar-2020			2,20,917	1.08
43	Essential Electronics LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	25,000	Allotment	25,000	0.12
				17-Jul-2019	12,763	Conversion into LLP	37,763	0.18
				31-Mar-2020			37,763	0.18
44	Four Wings Marketing LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	37,500	Allotment	37,500	0.18
				13-Mar-2020	(37,500)	Transfer	-	0.00
45	Hissar Tubes LLP	-	0.00	31-Mar-2020				0.00
				25-Apr-2019	71,251	Allotment	71,251	0.35
				13-Mar-2020	16,249	Conversion into LLP	87,500	0.43
				13-Mar-2020	12,712	Transfer	1,00,212	0.49
				31-Mar-2020			1,00,212	0.49
46	Premier Fincap LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	56,310	Allotment	56,310	0.28
				18-Mar-2020	95,375	Conversion into LLP	1,51,685	0.74
				31-Mar-2020			1,51,685	0.74
47	Spring Mercantile LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	1,22,750	Allotment	1,22,750	0.60
				13-Mar-2020	12,362	Conversion into LLP	1,35,112	0.66
				31-Mar-2020			1,35,112.00	0.66
48	Suvarna Marketing LLP	-	0.00	1-Apr-2019				
				25-Apr-2019	11,875	Allotment	11,875	0.06
				31-Mar-2020			11,875	0.06
49	Shikha Mercantile LLP	-	0.00	1-Apr-2019				
				14-Jun-2019	8,825	Conversion into LLP	8,825	0.04
				31-Mar-2020			8,825	0.04
50	Sunvin Trading & Investment LLP	-	0.00	1-Apr-2019				
				16-Jul-2019	125961	Conversion into LLP	125961	0.62
				31-Mar-2020			125961	0.62

iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters and holders of GDRs and ADRs)

S. No.	Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Share holding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning (01.04.2019)/in the ending(31.03.2020)	% of total shares of the Company				No. of shares	% of total shares of the Company
1	Amarjyoti Vinija LLP	-	-	1-Apr-2019	-	-	-	-
				25-Apr-2019	3,92,270	Allotment	3,92,270	1.92
				31-Mar-2020	7,84,963	Transfer	11,77,233	5.77
		11,77,233	5.77					
2	Nikanth Fincon Private Limited	-	-	1-Apr-2019				
				25-Apr-2019	18,764	Allotment	18,764	0.09
				31-Mar-2020	9,10,663	Transfer	9,29,427	4.55
		9,29,427	4.55					
3	Makrana Tradecom LLP	-	-	1-Apr-2019				
				25-Apr-2019	3,48,357	Allotment	3,48,357	1.71
				31-Mar-2020	5,00,356	Transfer	8,48,713	4.16
		8,48,713	4.16					
4	Lucky holding Private Limited	-	-	1-Apr-2019				
				25-Apr-2019	3,60,000	Allotment	3,60,000	1.76
				31-Mar-2020	64,569	Transfer	4,24,569	2.08
		4,24,569	2.08					
5	Jhunjhunwala Rakesh Radheshyam	-	-	1-Apr-2019				
				25-Apr-2019	3,12,500	Allotment	3,12,500	1.53
				31-Mar-2020				
		3,12,500	1.53					
6	Elera Capital PLC	-	-	1-Apr-2019				
				25-Apr-2019	3,78,600	Allotment	3,78,600	1.85
				31-Mar-2020	(1,14,600)	Transfer	2,64,000	1.29
		2,64,000	1.29					
7	Soyuz Trading Company Limited	-	-	1-Apr-2019				
				25-Apr-2019	29,145	Allotment	29,145	0.14
				31-Mar-2020	2,06,835	Transfer	2,35,980	1.16
		2,35,980	1.16					

8	Gagandeep Consultancy Private Limited	-	-	1-Apr-2019	1,75,000	Transfer	1,75,000	0.86
		1,75,000	0.86	31-Mar-2020				
9	Satish Kumar	-	-	1-Apr-2019				
				25-Apr-2019	18,509	Allotment	18,509	0.09
		1,41,817	0.69	31-Mar-2020	1,23,308	Transfer	1,41,817	0.69
10	Woodstock Securities Private Limited	-	-	1-Apr-2019				
		1,15,391	0.57	31-Mar-2020	1,15,391	Transfer	1,15,391	0.57

(v) Shareholding of Directors and Key Managerial Personnel:

S. No.	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Shri V.P. Agarwal, Chairman						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year	25-Apr-2019	Allot			65,860	0.00%
	At the end of the year	31-Mar-2020			0.00%	65,860	0.00%
2	V.P. Agarwal (HUF)						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year	25-Apr-2019	Allot		0.00%	2,76,079	0.00%
	At the end of the year	31-Mar-2020			0.00%	2,76,079	0.00%
3	Shri Vikram Agarwal, Non Executive Director						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year	25-Apr-2019	Allot	-	0.00%	50812	0.00%
	At the end of the year	31-Mar-2020			0.00%	14,739	0.00%
4	Shri Kanha Agarwal, Managing Director						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year	25-Apr-2019	Allot		0.00%	2,317	0.00%
		3-Mar-2020	Transfer		0.00%	2,000	0.00%
		9-Mar-2020	Transfer		0.00%	2,222	0.00%
		12-Mar-2020	Transfer		0.00%	4,500	0.00%
		19-Mar-2020	Transfer		0.00%	3,700	0.00%
	At the end of the year	31-Mar-2020			0.00%	14,739	0.00%
5	Smt Parveen Gupta, Non Executive Director						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-Mar-2020			0.00%	-	0.00%
6	Dr Satish Chander Gosain, Non Executive Director						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-Mar-2020			0.00%	-	0.00%
7	Dr. Satram Likumal Keswani, Non Executive Director						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-Mar-2020			0.00%	-	0.00%
12	Pawan Kumar, Company Secretary						
	At the beginning of the year	1-Apr-2019		-	0.00%	-	0.00%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31-Mar-2020			0.00%	-	0.00%

(V) Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Rs. in /Lakhs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year 01.04.2019				
i) Principal Amount	9.00	-	-	9.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	9.00	-	-	9.00
Change in Indebtedness during the financial year				
Addition	37.00	7,348.00	-	7,385.00
Reduction	(4.00)	(6,881.00)	-	(6,885.00)
Exchange Difference	-	-	-	-
Net Change	33.00	467.00	-	500.00
Indebtedness at the end of the financial year				
i) Principal Amount	42.00	467.00	-	509.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	42.00	467.00	-	509.00

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A) Remuneration to Chairman, Managing Director, Joint Managing Director /Whole-time Directors and / or Manager :

(Rs. in lakhs)

S.No.	Particulars of Remuneration	Name of Chairman / MD / Joint MD / WTD / Manager			Total Amount
		Sh. V.P. Agarwal	Sh. Vikram Agarwal	Sh. Kanha Agarwal	
1	Gross Salary	-	-	-	-
a)	Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	-	-	-	-
b)	Value of perquisites u/s 17(2) of the Income-tax Act, 1961	-	-	-	-
c)	Profit in lieu of salary u/s 17(3) of the Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
5	Others	-	-	-	-
	TOTAL (A)	0.00	0.00	0.00	0.00

B) Remuneration to other Directors :

S.No.	Particulars of Remuneration	Name of Directors			Total Amount
		Dr. Satish chander Gosain	Dr. Satram Lokumal Keswani	Smt. Parveen Gupta	
1	Independent Directors				
	Fees for attending Board / Committee Meetings	1.00	1.625	1.375	4.00
	Commission	-	-	-	0.00
	Others	-	-	-	0.00
	Stock Option	-	-	-	0.00
	Sweat Equity	-	-	-	0.00
	Commission	-	-	-	0.00
	Others	-	-	-	0.00
	TOTAL (1)	1.00	1.625	1.375	4.00
2	Other Non-Executive Directors				
	Fees for attending Board / Committee Meetings	-	-	-	0.00
	Commission	-	-	-	0.00
	Others	-	-	-	0.00
	Stock Option	-	-	-	0.00
	Sweat Equity	-	-	-	0.00
	Commission	-	-	-	0.00
	Others	-	-	-	0.00
	TOTAL (2)	0	0	0	0.00
	TOTAL (1)+(2)	0	0	0	4.00
	TOTAL MANAGERIAL REMUNERATION (A) +(B) #	1.00	1.625	1.375	4.00

C) Remuneration to Key Managerial Personnel :

(Rs. In lakhs)

S.No.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
		CEO	CFO	Company Secretary	
		-	Sh. Dalip Kumar Sharma	Sh. Pawan Kumar	
1	Gross Salary				
a)	Salary as per provisions contained in Section 17(1) of the Income-tax Act, 1961	-	4.98	7.47	12.45
b)	Value of perquisites u/s 17(2) of the Income-tax Act, 1961	-	-	-	-
c)	Profit in lieu of salary u/s 17(3) of the Income-tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission	-	-	-	-
5	Others - Medical	-	-	-	0
	TOTAL (A)	0.00	4.98	7.47	12.45

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment			NIL		
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					